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I certify that on May 24, 2002, which is the date I am signing this certificate, this correspondence and all identified attachments are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to-Commissioner for Patents, Washington, D.C. 20231

Peter L. Holmes

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group No.: 2874

Unassigned

Examiner:

In re application of: Oh et al.

Application No.: 10/081,976

Filed: 02/20/2002

For: Tapered Waveguide Interconnection Structure and Method of Fabricating the Same

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT #1

Sir:

In accordance with 37 C.F.R. § 1.56, the references listed on the attached Form(s) PTO/SB/08A and/or PTO/SB/08B are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited references are enclosed.

This information disclosure statement is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached Form(s) PTO/SB/08A and/or PTO/SB/08B with initials or other appropriate marks, and that the references be made of record as cited references in the application.

(Information Disclosure Statement — page 1 of 2)

PATENT

If this information disclosure statement transmitted is filed after the mailing date of the first Office action on the merits, Applicants elect the option to pay the fee set forth in 37 C.F.R. 1.17(p) for submission of an information disclosure statement under § 1.97(c). The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 50-0651.

Date: May 24, 2002

Respectfully submitted

SIGNATURE OF PRACTITIONER

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